Trading ACCOUNT OPENING PROCESS

INVESTOR

Investor Coming to AP / HO (Member Office)

Investor fill up KYC, Mandatory & Non-Mandatory docs along with supporting docs like ID proof *, Add. Proof#, Bank proof @ and Demat Proof^ (if outside DP account need to map) at HO

AP /HO – Verifying KYC / AOF along with Supporting Docs and putting the stamp of "IPV" and "Verified with Original".

Document Completed

Document Incompletes

PAN verified and Aadhar seeding check with Income Tax Site

AP/ HO takes Follow-up with Investor & after completion of docs then update in System

AP/ HO entered data in Back-Office with used of concept Maker & Checker

(UCC code providing Broker to the Client)

Back-Office UCC data upload to Exchange Platform UCC
NSE - https://ims.connect2nsccl.com/MemberPortal/
BSE- https://ucc.bseindia.com/newUCC/UCC_Login.aspx

After opening the account KRA and CKYC has to be done.
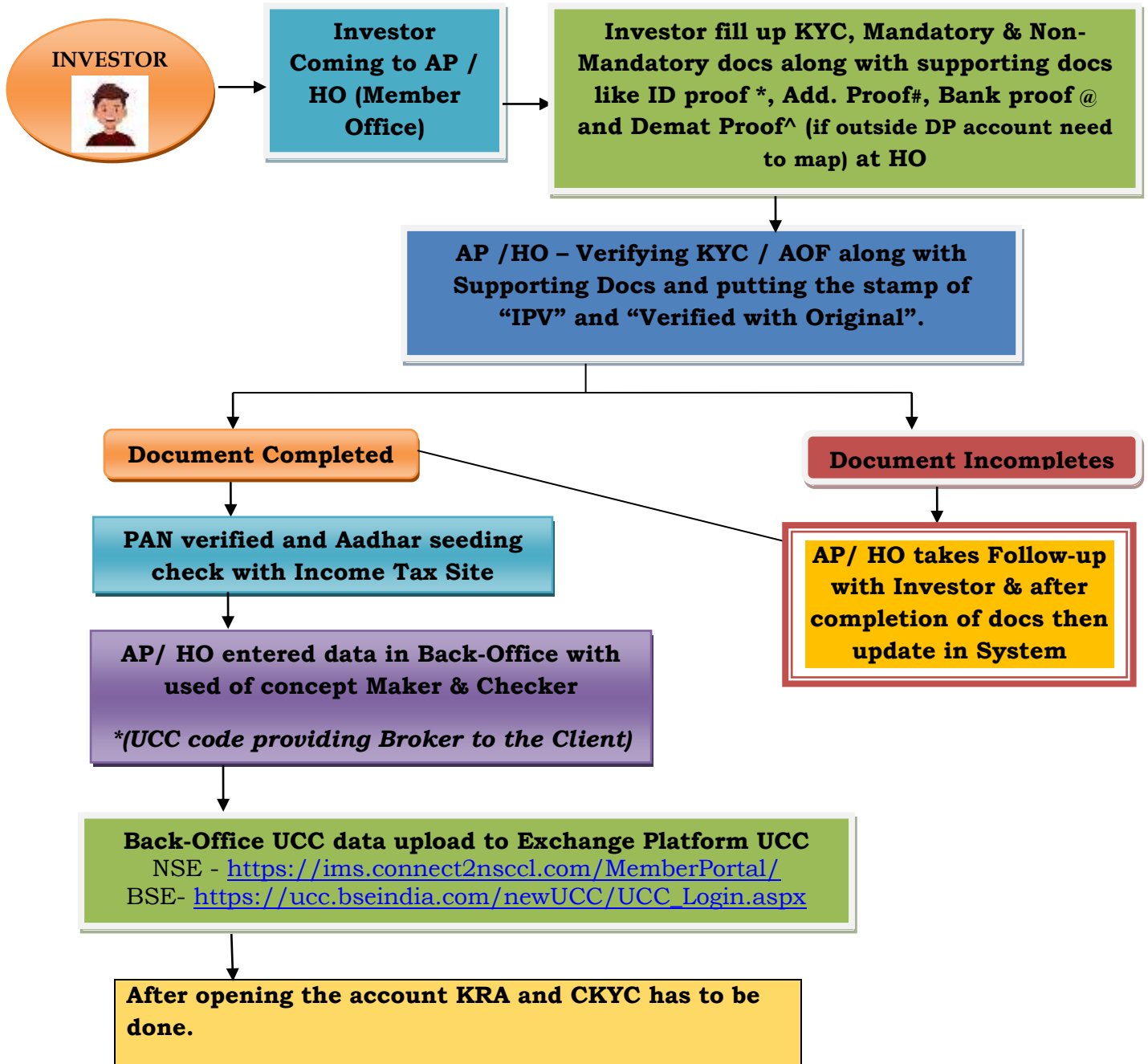
Send a Client Welcome letter along with Client Master to Client within 07 days from the date of Account Opening.

	Note:- Proof's acceptable
*	PAN card (Compulsory),Voter ID, Passport, Driving license, Aadhaar card, ID card issued by State / central Govt.
#	Voter ID, Passport, Driving license, Aadhaar card, Ration Card, Pass book, Lease & license agreement, Bank a/c statement, Electricity bill, Gas bill & Land line telephone bill (Not more than 3 months old).
@	Cheque leaf, Pass book, bank statement (Not more than 04 month old).
^	DP Client Master Letter if outside DP account need to map